



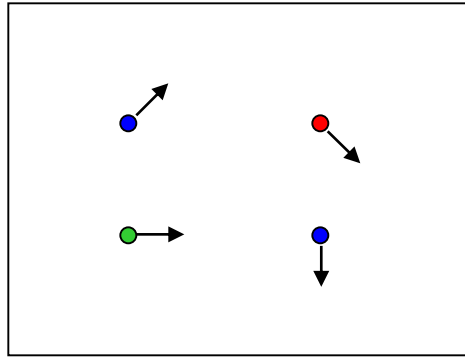
**Dr. George Karraz, Ph. D.**

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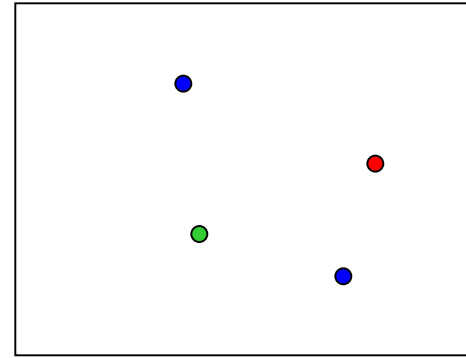
# Motion Estimation, cont...

# Problem definition: optical flow

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$H(x, y)$



$I(x, y)$

How to estimate pixel motion from image H to image I?

- Solve pixel correspondence problem
  - given a pixel in H, look for **nearby** pixels of the **same color** in I

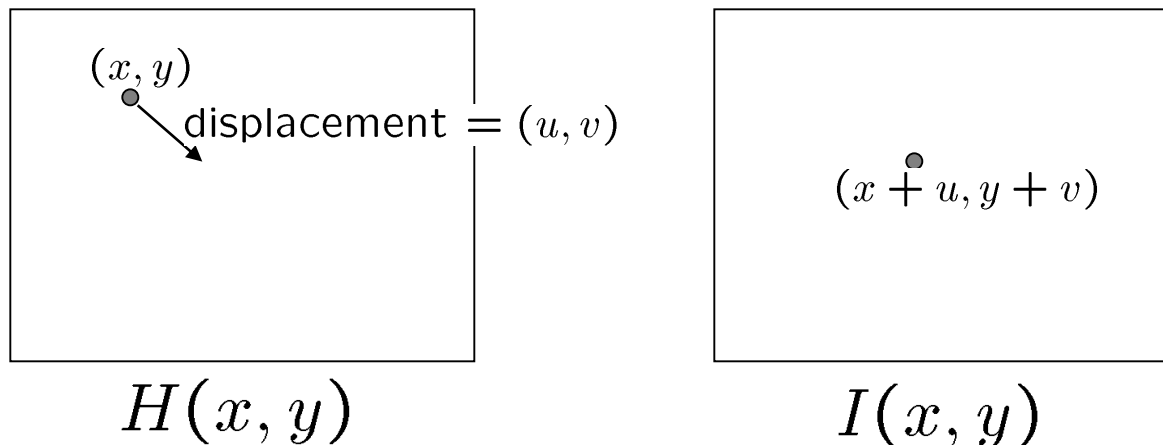
Key assumptions

- **color constancy**: a point in H looks the same in I
  - For grayscale images, this is **brightness constancy**
- **small motion**: points do not move very far

This is called the **optical flow** problem

# Optical flow constraints (grayscale images)

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Let's look at these constraints more closely

- brightness constancy: Q: what's the equation?
- small motion: (u and v are less than 1 pixel)
  - suppose we take the Taylor series expansion of I:

$$I(x + u, y + v) = I(x, y) + \frac{\partial I}{\partial x}u + \frac{\partial I}{\partial y}v + \text{higher order terms}$$
$$\approx I(x, y) + \frac{\partial I}{\partial x}u + \frac{\partial I}{\partial y}v$$

# Optical flow equation

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Combining these two equations

$$\begin{aligned} 0 &= I(x + u, y + v) - H(x, y) && \text{shorthand: } I_x = \frac{\partial I}{\partial x} \\ &\approx I(x, y) + I_x u + I_y v - H(x, y) \\ &\approx (I(x, y) - H(x, y)) + I_x u + I_y v \\ &\approx I_t + I_x u + I_y v \\ &\approx I_t + \nabla I \cdot [u \ v] \end{aligned}$$

In the limit as  $u$  and  $v$  go to zero, this becomes exact

$$0 = I_t + \nabla I \cdot \left[ \frac{\partial x}{\partial t} \ \frac{\partial y}{\partial t} \right]$$

# Optical flow equation

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$$0 = I_t + \nabla I \cdot [u \ v]$$

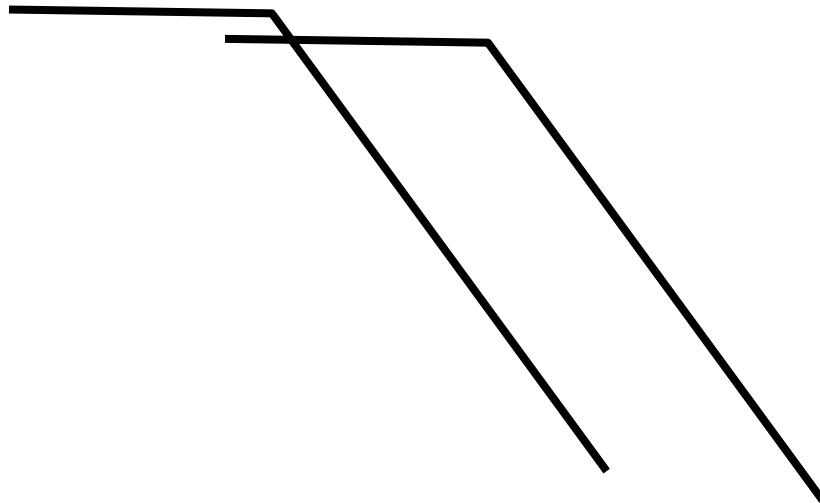
Q: how many unknowns and equations per pixel?

Intuitively, what does this constraint mean?

- The component of the flow in the gradient direction is determined
- The component of the flow parallel to an edge is unknown

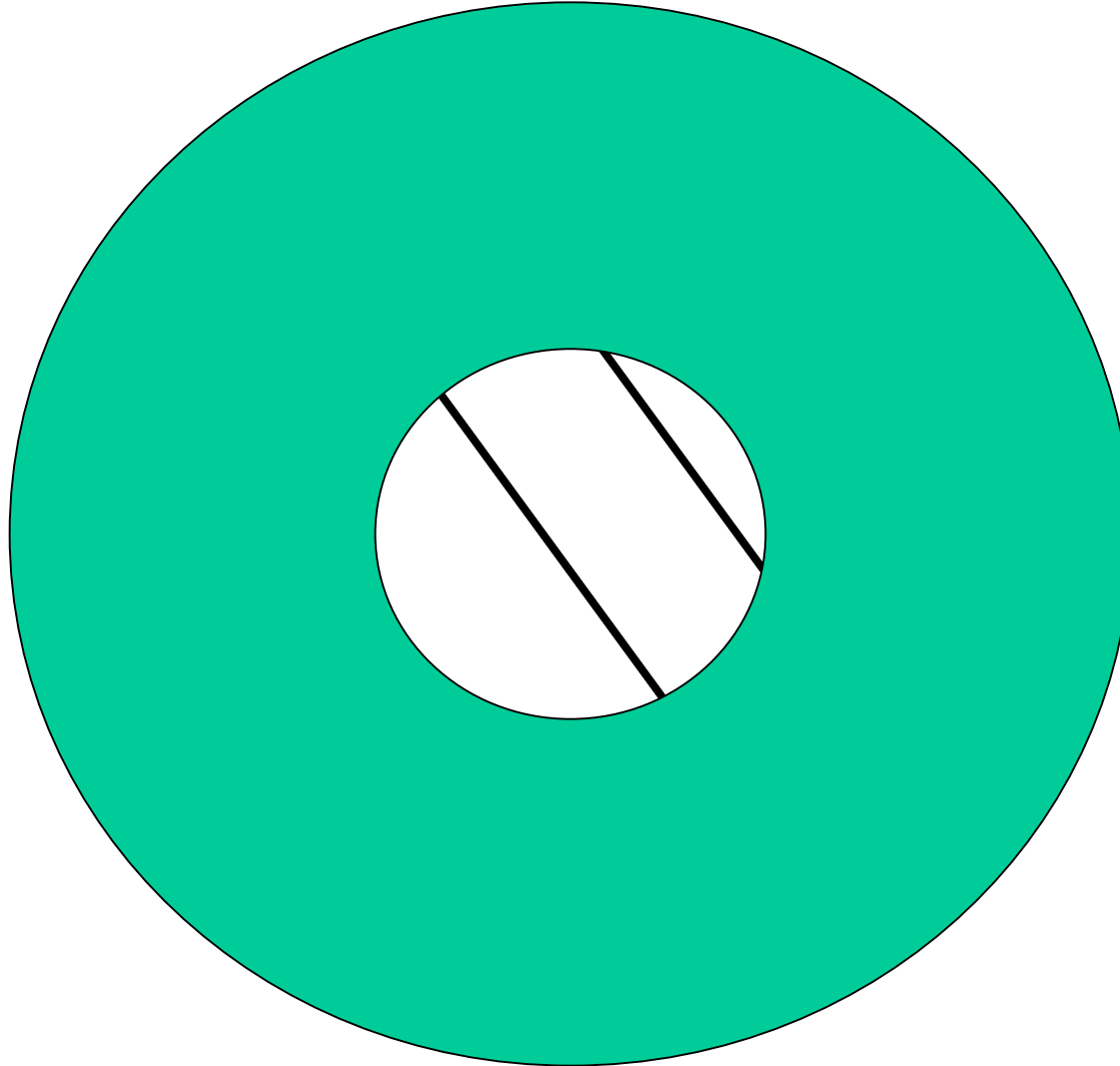
# Aperture problem

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# Aperture problem

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# Solving the aperture problem

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How to get more equations for a pixel?

- Basic idea: impose additional constraints
  - most common is to assume that the flow field is smooth locally
  - one method: pretend the pixel's neighbors have the same (u,v)
    - » If we use a 5x5 window, that gives us 25 equations per pixel!

$$0 = I_t(\mathbf{p}_i) + \nabla I(\mathbf{p}_i) \cdot [u \ v]$$

$$\begin{bmatrix} I_x(\mathbf{p}_1) & I_y(\mathbf{p}_1) \\ I_x(\mathbf{p}_2) & I_y(\mathbf{p}_2) \\ \vdots & \vdots \\ I_x(\mathbf{p}_{25}) & I_y(\mathbf{p}_{25}) \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = - \begin{bmatrix} I_t(\mathbf{p}_1) \\ I_t(\mathbf{p}_2) \\ \vdots \\ I_t(\mathbf{p}_{25}) \end{bmatrix}$$

$A$                        $d$                        $b$   
25x2                      2x1                      25x1

# RGB version

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How to get more equations for a pixel?

- Basic idea: impose additional constraints
  - most common is to assume that the flow field is smooth locally
  - one method: pretend the pixel's neighbors have the same (u,v)
    - » If we use a 5x5 window, that gives us 25\*3 equations per pixel!

$$0 = I_t(\mathbf{p}_i)[0, 1, 2] + \nabla I(\mathbf{p}_i)[0, 1, 2] \cdot [u \ v]$$

$$\begin{bmatrix} I_x(\mathbf{p}_1)[0] & I_y(\mathbf{p}_1)[0] \\ I_x(\mathbf{p}_1)[1] & I_y(\mathbf{p}_1)[1] \\ I_x(\mathbf{p}_1)[2] & I_y(\mathbf{p}_1)[2] \\ \vdots & \vdots \\ I_x(\mathbf{p}_{25})[0] & I_y(\mathbf{p}_{25})[0] \\ I_x(\mathbf{p}_{25})[1] & I_y(\mathbf{p}_{25})[1] \\ I_x(\mathbf{p}_{25})[2] & I_y(\mathbf{p}_{25})[2] \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = - \begin{bmatrix} I_t(\mathbf{p}_1)[0] \\ I_t(\mathbf{p}_1)[1] \\ I_t(\mathbf{p}_1)[2] \\ \vdots \\ I_t(\mathbf{p}_{25})[0] \\ I_t(\mathbf{p}_{25})[1] \\ I_t(\mathbf{p}_{25})[2] \end{bmatrix}$$

$A$   
75x2

$d$   
2x1

$b$   
75x1

# Lukas-Kanade flow

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Prob: we have more equations than unknowns

$$\begin{array}{ccc} A & d = b & \longrightarrow \text{minimize } \|Ad - b\|^2 \\ 25 \times 2 & 2 \times 1 & 25 \times 1 \end{array}$$

Solution: solve least squares problem

- minimum least squares solution given by solution (in  $d$ ) of:

$$\begin{array}{ccc} (A^T A) & d = & A^T b \\ 2 \times 2 & 2 \times 1 & 2 \times 1 \end{array}$$

$$\begin{array}{ccc} \left[ \begin{array}{cc} \sum I_x I_x & \sum I_x I_y \\ \sum I_x I_y & \sum I_y I_y \end{array} \right] & \left[ \begin{array}{c} u \\ v \end{array} \right] & = - \left[ \begin{array}{c} \sum I_x I_t \\ \sum I_y I_t \end{array} \right] \\ A^T A & & A^T b \end{array}$$

- The summations are over all pixels in the  $K \times K$  window
- This technique was first proposed by Lukas & Kanade (1981)
  - described in Trucco & Verri reading

# Conditions for solvability

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- Optimal  $(u, v)$  satisfies Lucas-Kanade equation

$$\begin{bmatrix} \sum I_x I_x & \sum I_x I_y \\ \sum I_x I_y & \sum I_y I_y \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = - \begin{bmatrix} \sum I_x I_t \\ \sum I_y I_t \end{bmatrix}$$

$A^T A$   $A^T b$

## When is This Solvable?

- $\mathbf{A}^T \mathbf{A}$  should be invertible
- $\mathbf{A}^T \mathbf{A}$  should not be too small due to noise
  - eigenvalues  $\lambda_1$  and  $\lambda_2$  of  $\mathbf{A}^T \mathbf{A}$  should not be too small
- $\mathbf{A}^T \mathbf{A}$  should be well-conditioned
  - $\lambda_1 / \lambda_2$  should not be too large ( $\lambda_1 =$  larger eigenvalue)

# Eigenvectors of $A^T A$

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$$A^T A = \begin{bmatrix} \sum I_x I_x & \sum I_x I_y \\ \sum I_x I_y & \sum I_y I_y \end{bmatrix} = \sum \begin{bmatrix} I_x \\ I_y \end{bmatrix} [I_x \ I_y] = \sum \nabla I (\nabla I)^T$$

Suppose  $(x,y)$  is on an edge. What is  $A^T A$ ?

- gradients along edge all point the same direction
- gradients away from edge have small magnitude

$$\left( \sum \nabla I (\nabla I)^T \right) \approx k \nabla I \nabla I^T$$

$$\left( \sum \nabla I (\nabla I)^T \right) \nabla I = k \|\nabla I\| \nabla I$$

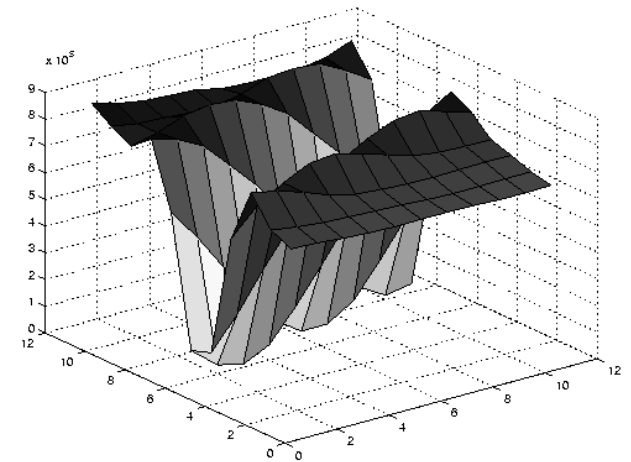
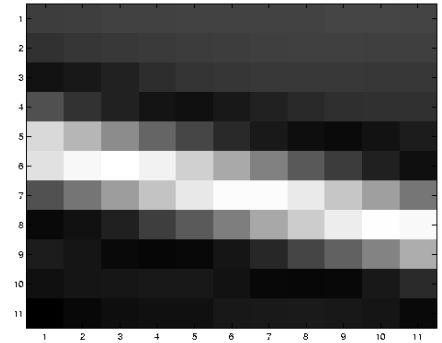
- $\nabla I$  is an eigenvector with eigenvalue  $k \|\nabla I\|$
- What's the other eigenvector of  $A^T A$ ?
  - let  $N$  be perpendicular to  $\nabla I$

$$\left( \sum \nabla I (\nabla I)^T \right) N = 0$$

- $N$  is the second eigenvector with eigenvalue 0

The eigenvectors of  $A^T A$  relate to edge direction and magnitude

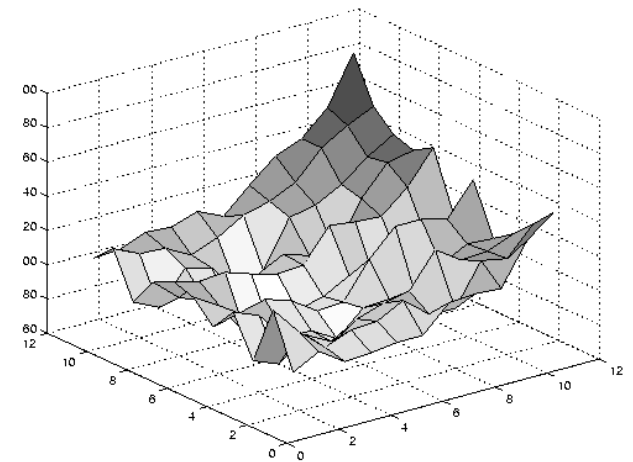
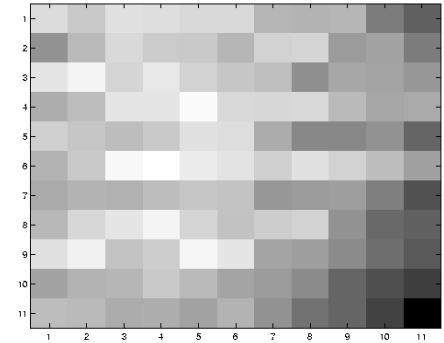
# Edge



$$\sum \nabla I (\nabla I)^T$$

- large gradients, all the same
- large  $\lambda_1$ , small  $\lambda_2$

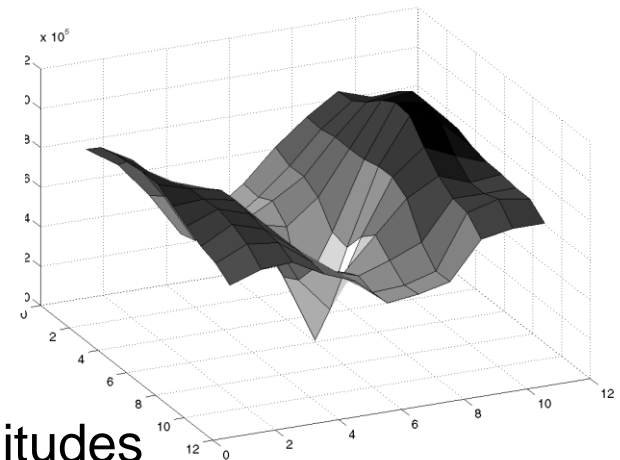
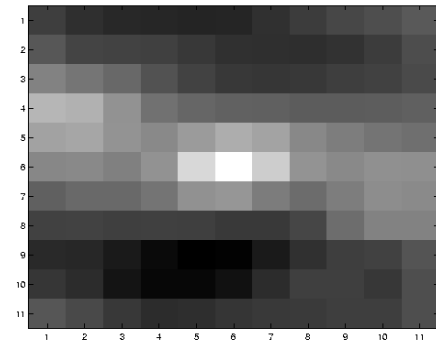
# Low texture region



$$\sum \nabla I (\nabla I)^T$$

- gradients have small magnitude
- small  $\lambda_1$ , small  $\lambda_2$

# High textured region



$$\sum \nabla I (\nabla I)^T$$

- gradients are different, large magnitudes
- large  $\lambda_1$ , large  $\lambda_2$



# Observation

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This is a two image problem BUT

- Can measure sensitivity by just looking at one of the images!
- This tells us which pixels are easy to track, which are hard
  - very useful later on when we do feature tracking...

# Errors in Lukas-Kanade

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What are the potential causes of errors in this procedure?

- Suppose  $A^T A$  is easily invertible
- Suppose there is not much noise in the image

When our assumptions are violated

- Brightness constancy is **not** satisfied
- The motion is **not** small
- A point does **not** move like its neighbors
  - window size is too large
  - what is the ideal window size?

# Improving accuracy

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Recall our small motion assumption

$$\begin{aligned} 0 &= I(x + u, y + v) - H(x, y) \\ &\approx I(x, y) + I_x u + I_y v - H(x, y) \end{aligned}$$

This is not exact

- To do better, we need to add higher order terms back in:

$$= I(x, y) + I_x u + I_y v + \text{higher order terms} - H(x, y)$$

This is a polynomial root finding problem

- Can solve using **Newton's method**
  - Also known as **Newton-Raphson** method
- Lukas-Kanade method does one iteration of Newton's method
  - Better results are obtained via more iterations

# Iterative Refinement

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## Iterative Lukas-Kanade Algorithm

1. Estimate velocity at each pixel by solving Lucas-Kanade equations
2. Warp H towards I using the estimated flow field
  - *use image warping techniques*
3. Repeat until convergence

# Revisiting the small motion assumption

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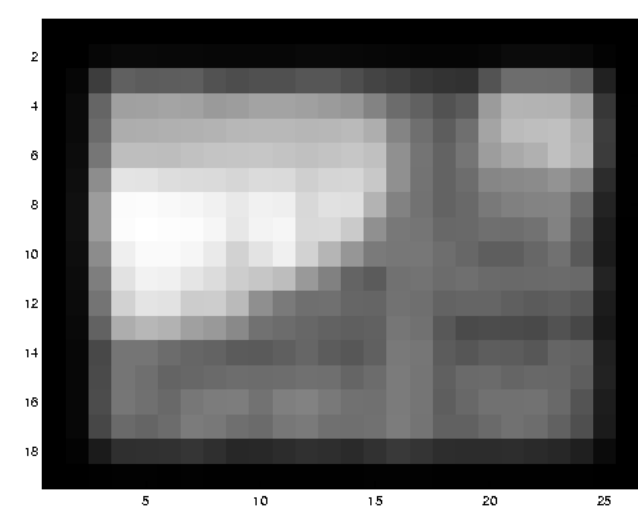
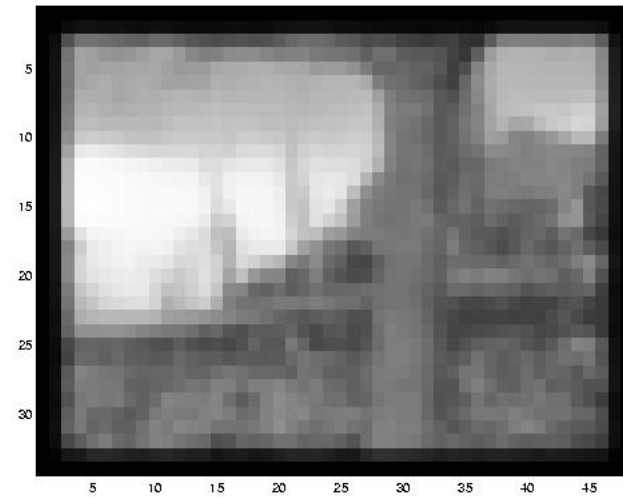
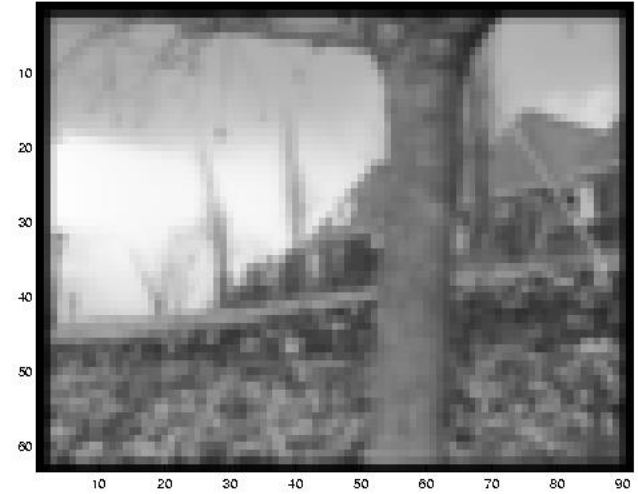


Is this motion small enough?

- Probably not—it's much larger than one pixel (2<sup>nd</sup> order terms dominate)
- How might we solve this problem?

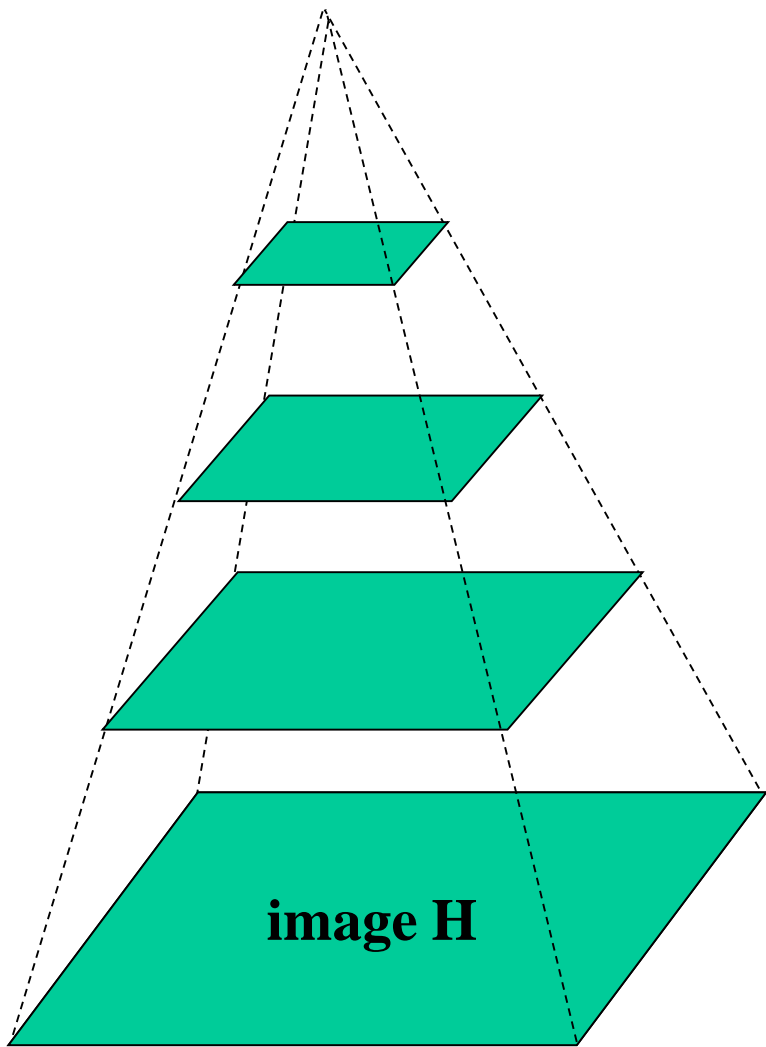
# Reduce the resolution!

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# Coarse-to-fine optical flow estimation

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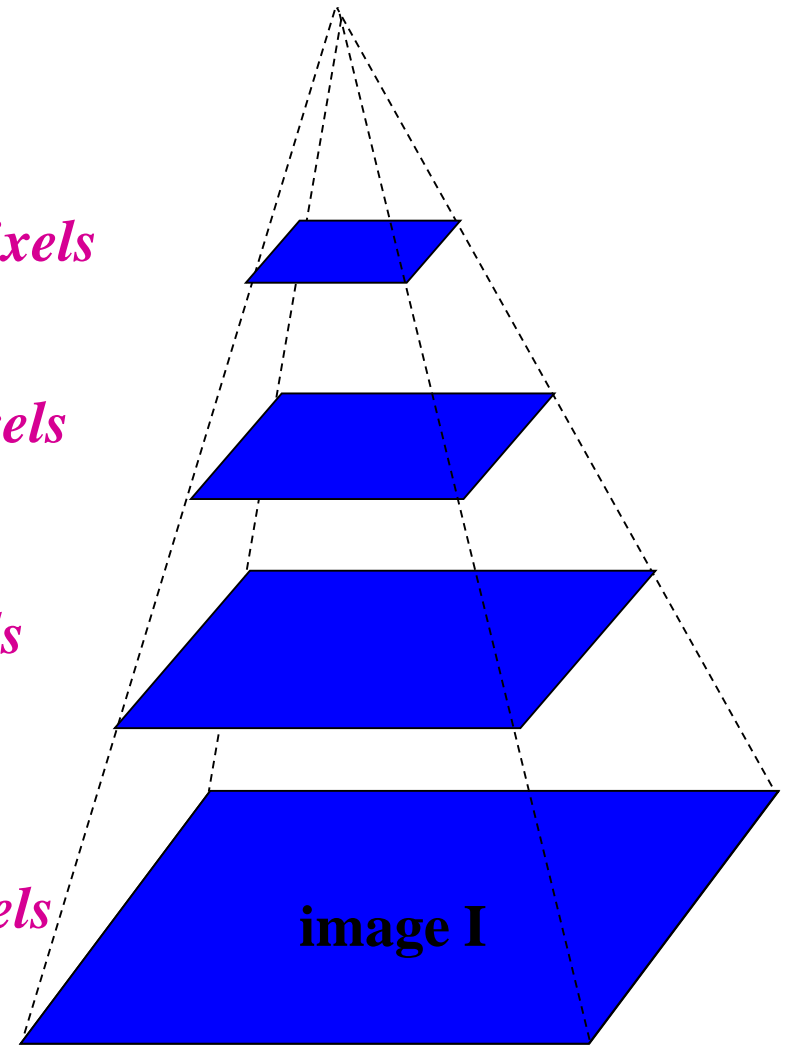
**Gaussian pyramid of image H**

*$u=1.25$  pixels*

*$u=2.5$  pixels*

*$u=5$  pixels*

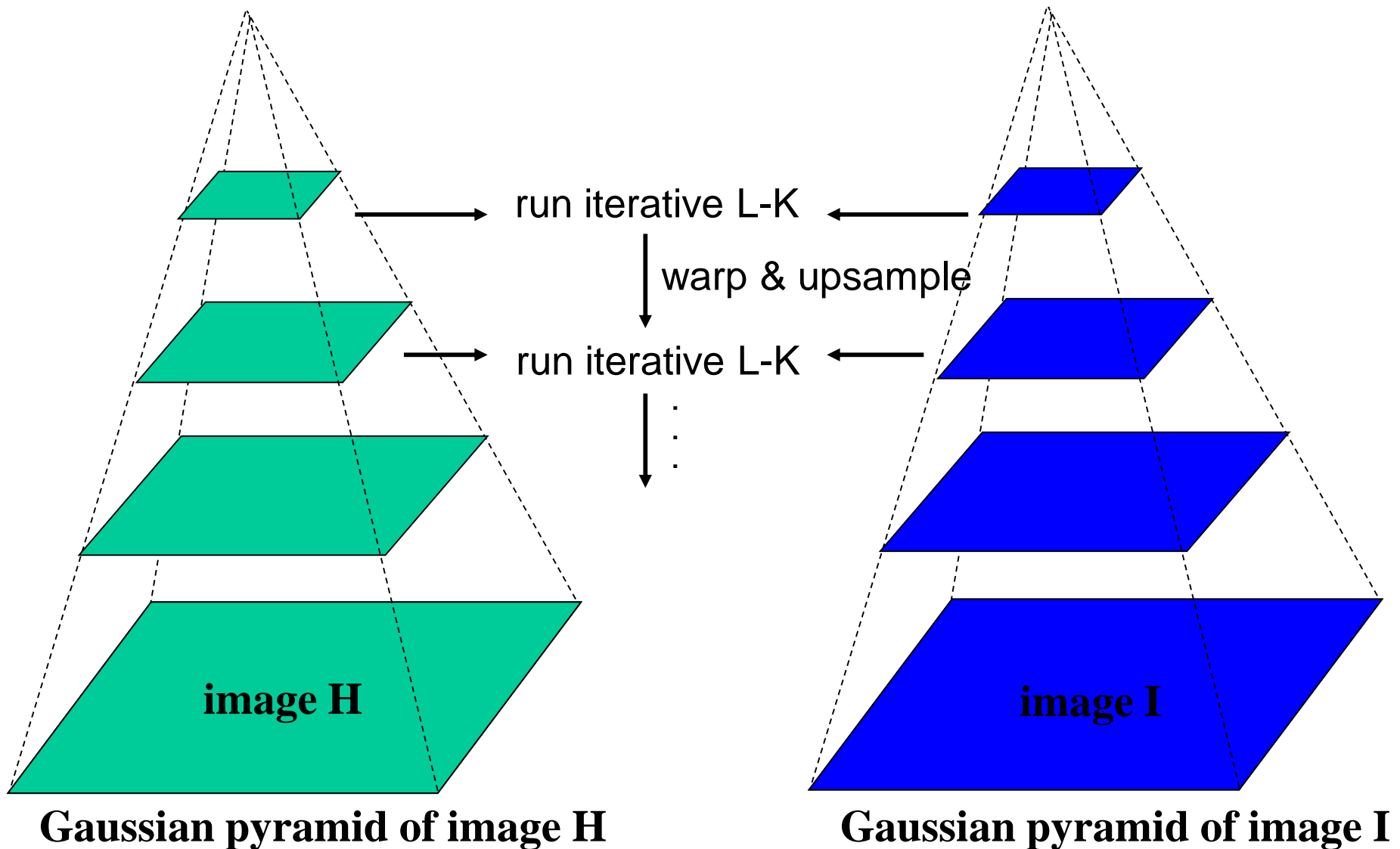
*$u=10$  pixels*



**Gaussian pyramid of image I**

# Coarse-to-fine optical flow estimation

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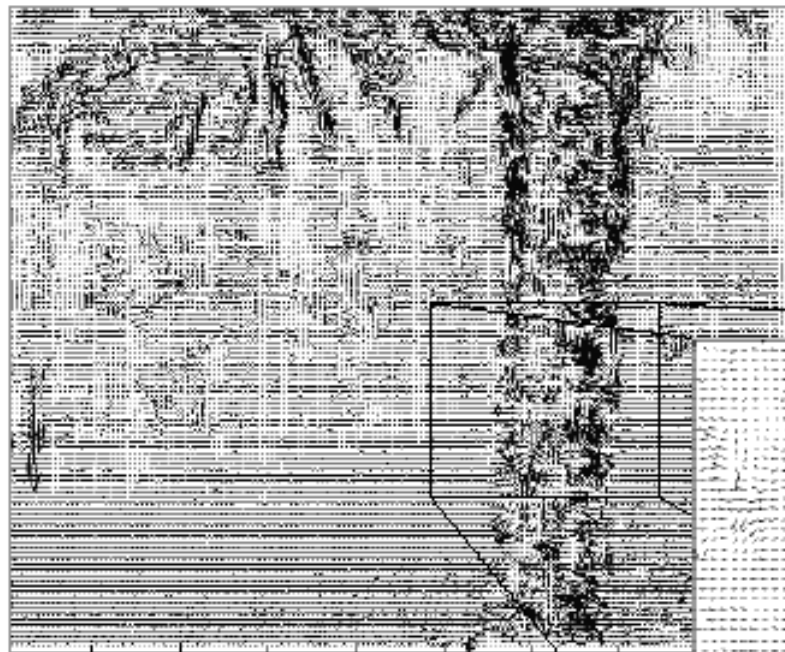
# Multi-resolution Lucas Kanade Algorithm

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- Compute ‘simple’ LK at highest level
- At level  $i$ 
  - Take flow  $u_{i-1}, v_{i-1}$  from level  $i-1$
  - bilinear interpolate it to create  $u_i^*, v_i^*$  matrices of twice resolution for level  $i$
  - multiply  $u_i^*, v_i^*$  by 2
  - compute  $f_t$  from a block displaced by  $u_i^*(x,y), v_i^*(x,y)$
  - Apply LK to get  $u_i'(x, y), v_i'(x, y)$  (the correction in flow)
  - Add corrections  $u_i', v_i'$ , *i.e.*  $u_i = u_i^* + u_i'$ ,  
 $v_i = v_i^* + v_i'$ .

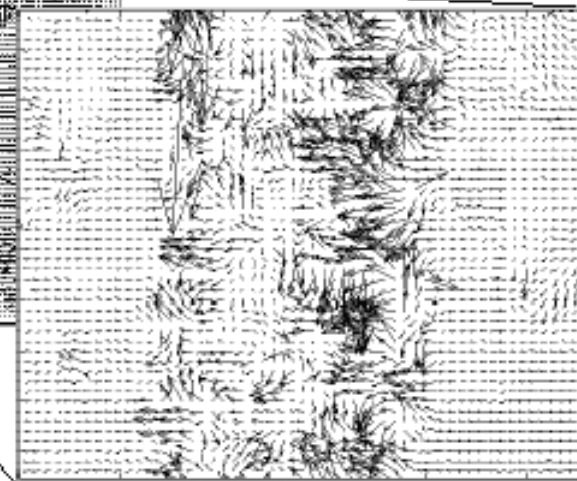
# Optical Flow Results

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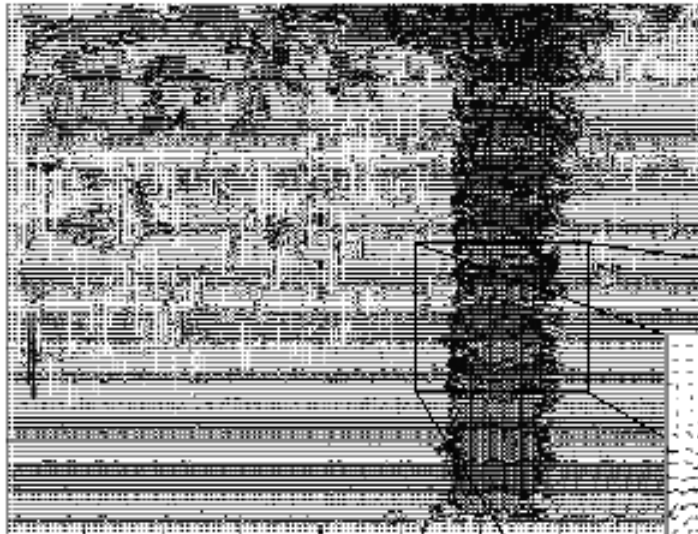
Lucas-Kanade  
without pyramids

Fails in areas of large  
motion

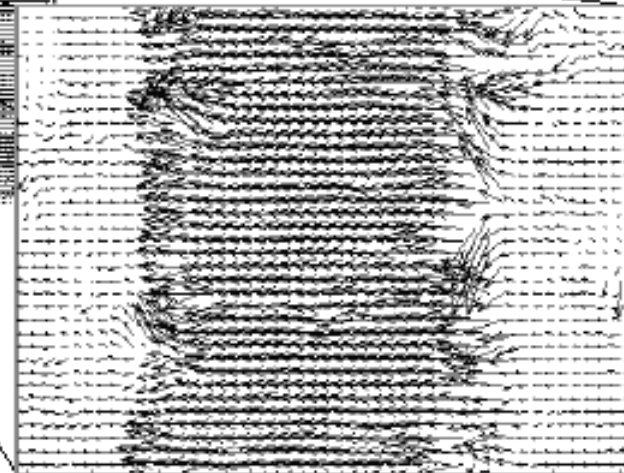


# Optical Flow Results

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Lucas-Kanade with Pyramids



# Optical flow Results

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